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HAYNES AND BOONE, LLP			ONI, OLU	ONI, OLUBUSOLA	
901 MAIN STREET, SUITE 3100 DALLAS, TX 75202			ART UNIT	PAPER NUMBER	
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Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/698,178	UDESHI ET AL.				
Office Action Summary	Examiner	Art Unit				
	OLUBUSOLA ONI	2168				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE!	I.  lely filed  the mailing date of this communication.  O (35 U.S.C. § 133).				
Status						
Responsive to communication(s) filed on <u>31 Or</u> This action is <b>FINAL</b> . 2b)⊠ This     Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. nce except for formal matters, pro					
Disposition of Claims						
4) ☐ Claim(s) 1-55 is/are pending in the application.  4a) Of the above claim(s) is/are withdraw  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 1-55 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or	vn from consideration.					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) acce Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex	epted or b) objected to by the Eddrawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119	•					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 10/31/2003.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:					

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### **DETAILED ACTION**

1. This action is responsive to communication: Application, filed on 10/31/2003.

### Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-6, 21-23, 27-29, 30-35,39, 40-47, 51-55 are rejected under 35 U.S.C. 102(b) as being anticipated by Steven Kanefsky (U.S. Pub No. 2001/0044327) hereinafter "Kanefsky".

For claim 1, Kanefsky teaches "a method for performing an operation on a hierarchical data tree (See paragraph [0072-0074, fig. 4&5]), comprising: visiting an anchor node in the tree" (See paragraph [0067-0068, fig. 4]); "retrieving data from the anchor node and a plurality of neighboring nodes each potentially affected by the operation" (See paragraph [0020, 0067-0068, fig. 4,5&6]); "querying a cache for a key representing the anchor node and the plurality of neighboring nodes in a pre-operation condition based on the retrieved data, wherein the cache stores pre-operation/post-operation data pairs" (See paragraph [0020-0023,0032-0035])

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"if the query finds a match, replacing the pre-operation retrieved data with cached post-operation data" (See paragraph [0021-0022]); and

"if the query does not find a match, performing the operation on the pre-operation retrieved data to generate post-operation data, replacing the pre-operation retrieved data with the post-operation data and storing the post-operation data in the cache with the associated pre-operation retrieved data" (See paragraph [0031-0022, 0038]).

For claim 2, Kanefsky teaches "wherein the retrieving data from the anchor node and a plurality of neighboring nodes each potentially affected by the operation further comprises retrieving data from at least one neighboring node affecting the operation" (See paragraph [0037]).

For claim 3, Kanefsky teaches "wherein the pre-operation retrieved data is replaced with cached post-operation data for a subset of the nodes for which pre-operation data was retrieved" (See paragraph [0038, 0045]).

For claim 4, Kanefsky teaches "wherein the operation assigns the anchor node to one of a plurality of equivalence classes and maintains a count of the number of nodes in each of the plurality of equivalence classes" (See paragraph [0055-0056, fig.4]).

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For claim 5, Kanefsky teaches "wherein the hierarchical data tree represents a physical structure and the operation comprises modifying the retrieved data to reflect the addition of material to the physical structure" (See paragraph [0058, fig. 4&5]).

For claim 6, Kanefsky teaches "wherein the hierarchical data tree represents a physical structure and the operation comprises modifying the retrieved data to reflect the removal of material from the physical structure" (See paragraph [0063-0064]).

For claim 21, Kanefsky teaches "expunging non-matched data from the cache when the cache attains a predetermined occupancy" (See paragraph [0063-0060])

For claim 22, Kanefsky teaches "wherein replacing the retrieved data with cached data comprises replacing the retrieved data with pointers to previously examined nodes represented by the cached data" (See paragraph [0037]).

For claim 23, Kanefsky teaches "wherein the data retrieval, cache querying and data replacement based on results of the cache querying is repeated with each unique node in the tree treated as anchor node" (See paragraph [0021-0022, 0038]).

For claim 27, Kanefsky teaches "a processing system for performing an operation on a hierarchical data tree" (See paragraph [0072-0074, fig. 4&5]), comprising:

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"means for retrieving data from an anchor node in the tree and plurality of neighboring nodes affected by the operation" (See paragraph [0020, 0067-0068])

"means for querying a cache for key representative of the node and the plurality of neighboring nodes based on the retrieved data" (See paragraph [0021-0022])

"means for performing the operation on the retrieved data to generate postoperation data, replacing the retrieved data with the post-operation data and storing the post-operation data in the cache based on the key if the query does not find a match" (See paragraph[0021-0022, 0038])

For claims 28-29, 40-41, these claims have substantially the same limitation as claim 4. These limitations have already been addressed in the rejection of claim 4. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 4 above.

For claims 30 and 42, these claims have substantially the same limitation as claim 5. These limitations have already been addressed in the rejection of claim 5. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 5 above.

For claims 31 and 43, these claims have substantially the same limitation as claim 6. These limitations have already been addressed in the rejection of claim 6.

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Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 6 above.

For claims 32 and 44, these claims have substantially the same limitation as claim 7. These limitations have already been addressed in the rejection of claim 7. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 7 above.

For claims 33 and 45 these claims have substantially the same limitation as claim 21. These limitations have already been addressed in the rejection of claim 21. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 21 above.

For claims 34 and 46, these claims have substantially the same limitation as claim 22. These limitations have already been addressed in the rejection of claim 22. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 22 above.

For claims 35 and 47, these claims have substantially the same limitation as claim 23. These limitations have already been addressed in the rejection of claim 23. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 23 above.

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For claim 39, Kanefsky teaches a program product comprising; a computer-readable storage medium "means recorded on a medium for retrieving data from an anchor node in the tree and plurality of neighboring nodes affected by the operation" (See paragraph [0020, 0067-0068])

"means recorded on a medium for querying a cache for key representative of the node and the plurality of neighboring nodes based on the retrieved data" (See paragraph [0021-0022])

"means recorded on a medium for performing the operation on the retrieved data to generate post-operation data, replacing the retrieved data with the post-operation data and storing the post-operation data in the cache based on the key if the query does not find a match" (See paragraph[0021-0022, 0038])

For claim 51, Kanefsky teaches "wherein the storage medium is a magnetic recording medium" (See paragraph [0045-0047])

For claim 52, Kanefsky teaches "wherein the storage medium is an optical recording medium" (See paragraph [0045-0047])

For claim 53, Kanefsky teaches "wherein the storage medium is a network distribution medium" (See paragraph [0045-0047])

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For claim 54, Kanefsky teaches "a method for performing an operation on a hierarchical data tree (See paragraph [0072-0074, fig. 4&5]), comprising: visiting an anchor node in the tree" (See paragraph [0067-0068, fig. 4]); "retrieving data from the anchor node and a plurality of neighboring nodes each potentially affected by the operation" (See paragraph [0020, 0067-0068, fig. 4,5&6]); "querying a cache for a key representing the anchor node and the plurality of neighboring nodes based on the retrieved data" (See paragraph [0020-0023,0032-0035])
"if the *query* finds a match, replacing the pre-operation retrieved data with cached data" (See paragraph [0021-0022]); and

"if the query does not find a match, performing the operation on the retrieved data to generate post-operation data, replacing the retrieved data with the post-operation data and storing the post-operation data in the cache based key" (See paragraph [0031-0022, 0038]).

For claim 55, Kanefsky teaches "wherein at least one of plurality retrieved neighboring nodes each potentially affected by the operation is separated from the node by at least one node" (See paragraph [0063-0064]).

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 7-10, 24-26, 36-38, 48-50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steven Kanefsky (U.S. Pub No. 2001/0044327) in the view of Hsiung et al (Pat No U.S 6,865,509) hereinafter "Hsiung".

For claim 7, Kanefsky teaches the claim limitation but does not explicitly teach "wherein the operation modifies a parameter of at least one of the anchor node and the plurality of neighboring nodes"

However, Hsiung teaches "wherein the operation modifies a parameter of at least one of the anchor node and the plurality of neighboring nodes" (Hsiung teaches processing information or data over a network or computers, and also monitoring and controlling a process using multi-dimensional data such as temperature, pressure [Col 1 - Col 2])

It would have been obvious to one of ordinary skill in the art at the time of the invention to combine Kanefsky with the teachings of Hsiung using sensors to monitor process parameters such as temperature, pressure, stress and the like. Whereby displays can generally outputs signals such as temperature in a graphical user interface form or numerical value in Celsius.

For claim 8, Kanefsky does not explicitly teach "wherein the parameter is a temperature of the at least one of the anchor node and the plurality of neighboring nodes".

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However, Hsiung teaches "wherein the parameter is a temperature of the at least one of the anchor node and the plurality of neighboring nodes" (Col1-2)

For claim 9, Kanefsky does not explicitly teach "wherein the parameter is a pressure of the at least one of the anchor node and the plurality of neighboring nodes".

However, Hsiung teaches "wherein the parameter is a pressure of the at least one of the anchor node and the plurality of neighboring nodes" (Col.1-2)

For claim 10, Kanefsky does not explicitly teach "wherein the parameter is a stress of the at least one of the anchor node and the plurality of neighboring nodes". However, Hsiung teaches "wherein the parameter is a stress of the at least one of the anchor node and the plurality of neighboring nodes" (Col 1-2)

For claim 24, Kanefsky teaches the claim limitation but does not explicitly teaches "wherein the tree represents a microelectronic device".

However, Hsiung teaches "wherein the tree represents a microelectronic device" (Co. 15, lines 16-20).

For claim 25, Kanefsky does not explicitly teach "wherein the microelectronic device is a MEMS device".

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However, Hsiung teaches "wherein the microelectronic device is a MEMS device". (Col. 15, lines 16-20).

For claim 26, Kanefsky does not explicitly teach "wherein the microelectronic device is a NEMS device".

However, Hsiung teaches "wherein the microelectronic device is a MEMS device". (Col. 15, lines 16-20).

For claims 36 and 48, these claims have substantially the same limitation as claim 24. These limitations have already been addressed in the rejection of claim 24. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 24 above.

For claims 37 and 49, these claims have substantially the same limitation as claim 25. These limitations have already been addressed in the rejection of claim 25. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 25 above.

For claims 38 and 50, these claims have substantially the same limitation as claim 26. These limitations have already been addressed in the rejection of claim 26. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 26 above.

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### Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 15-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steven Kanefsky (U.S. Pub No. 2001/0044327) in view of Schreiber et al. (Pub No. 2002/0138353) hereinafter "Schreiber"

For claim 15, Kanefsky teaches the claim limitation but does not explicitly teach "wherein the tree is an X-dimensional tree and the plurality of neighboring nodes includes at least X neighboring nodes".

However, Schreiber teaches "wherein the tree is an X-dimensional tree and the plurality of neighboring nodes includes at least X neighboring nodes". (multi-dimensional...three-dimensional cube see Schreiber fig. 7[sheet 5of 21] &fig.8B (sheet 6 of 21) & paragraph 0102-0103 & 0107-0110 &0016).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Kanefskys teaches by Schreiber, because using the arrangement of nodes with the combination of the tree having a X-dimensional region, would have given those skilled in the art the skills to effectively incorporate two/three/X-dimensional region in a directed acyclic graph structure.

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For claim 16, this claim has substantially the same limitation as claim 15. These limitations have already been addressed in the rejection of claim 15. Therefore, they are rejected on similar grounds corresponding to the arguments given to the rejected claim 15 above.

For claim 17 Kanefsky teaches the claim limitation but does not teach "wherein the tree has a directed acyclic graph structure".

However, Schreiber teaches "wherein the tree has a directed acyclic graph structure" (See paragraph [0060, 0069, 0072, 0087& fig. 3-6])

For claim 18, Kanefsky teaches the claim limitation but does not teach "wherein the tree is an octree".

However, Schreiber teaches "wherein the tree is an octree" (multi-dimensional...three-dimensional cube see Schreiber fig. 7[sheet 5of 21] &fig.8B (sheet 6 of 21) & paragraph 0102-0103 & 0107-0110 &0016).

For claim 19, Kanefsky teaches the claim limitation but does not teach "wherein the tree is a quadtree"

However, Schreiber teaches "wherein the tree is a quadtree" (multi-dimensional...three-dimensional cube see Schreiber fig. 7[sheet 5of 21] &fig.8B (sheet 6 of 21) & paragraph 0102-0103 & 0107-0110 &0016).

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For claim 20, Kanefsky teaches the claim limitation but does not teach "wherein the tree is a shared tree".

However, Schreiber teaches "wherein the tree is a shared tree" (multi dimensional...three-dimensional cube see Schreiber fig. 7[sheet 5of 21] &fig.8B (sheet 6 of 21) & paragraph 0102-0103 & 0107-0110 &0016).

## Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claims 11-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steven Kanefsky (U.S. Pub No. 2001/0044327) and Schreiber et al. (Pub No. 2002/0138353) hereinafter "Schreiber", in the view of Hsiung et al (Pat No U.S 6,865,509) hereinafter "Hsiung".

For claim 11, Kanefsky and Schreiber do not explicitly teach "wherein the parameter is an equivalence class".

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However Hsiung teaches "wherein the parameter is an equivalence class" (Col. 2, lines50-57, Col. 3, lines 14-31, Col. 13, lines 49-67, Col. 14, lines 1-25, Col 14, lines 27-39, Col. 15, lines 9-20)

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine Kanefsky and Schreiber by the teachings of Hsiung above, because using the enhanced method and system of an arrangement of nodes in forming a directed acyclic graph with the representation of material composition of Hsiung would have given those skilled in the art the steps to effectively incorporate a material composition to the arrangement of nodes in forming a directed acyclic graph.

For claim 12, most of the limitation of this claim has been noted in the rejection of claim 1. In addition Kanefsky and Schreiber do not explicitly teach "wherein the parameter is a composition of the at least one of the anchor node and the plurality of neighboring nodes".

However, Hsiung teaches "wherein the parameter is a composition of the at least one of the anchor node and the plurality of neighboring nodes" (Col. 2, lines50-57, Col. 3, lines 14-31, Col. 13, lines 49-67, Col. 14, lines 1-25, Col 14, lines 27-39, Col. 15, lines 9-20)

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine Kanefsky and Schreiber by the teachings of Hsiung above, because using the enhanced method and system of an arrangement of nodes

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in forming a directed acyclic graph with the representation of material composition of Hsiung would have given those skilled in the art the steps to effectively incorporate a material composition to the arrangement of nodes in forming a directed acyclic graph.

For claim 13, Kanefsky and Schreiber do not explicitly teach "wherein the composition comprises a percentage of occupation of the at least one of the anchor node and the plurality of neighboring nodes by a predetermined material".

However, Hsiung teaches "wherein the composition comprises a percentage of occupation of the at least one of the anchor node and the plurality of neighboring nodes by a predetermined material" (Col. 2, lines50-57, Col. 3, lines 14-31, Col. 13, lines 49-67, Col. 14, lines 1-25, Col 14, lines 27-39, Col. 15, lines 9-20).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have been motivated to modify the combination of Kanefsky and Schreiber per the above to effectively incorporate "a material composition" to the arrangement of nodes in forming a directed acyclic graph.

For claim 14, Kanefsky and Schreiber do not explicitly teach "wherein the composition comprises a percentage of occupation of the at least one of the anchor node and the plurality of neighboring nodes by each of a plurality of predetermined materials".

However, Hsiung teaches "wherein the composition comprises a percentage of occupation of the at least one of the anchor node and the plurality of neighboring

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nodes by each of a plurality of predetermined materials" (Col. 2, lines50-57, Col. 3, lines 14-31, Col. 13, lines 49-67, Col. 14, lines 1-25, Col 14, lines 27-39, Col. 15, lines 9-20).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have been motivated to modify the combination of Kanefsky and Schreiber per the above to effectively incorporate "a material composition" to the arrangement of nodes in forming a directed acyclic graph.

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### CONCLUSION

10. The following prior art cited on the PTO-892 form, not relied upon, is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OLUBUSOLA ONI whose telephone number is 571-272-2738. The examiner can normally be reached on 7.30-5.00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, TIM VO can be reached on 571-272-3642. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

OLUBUSOLA ONI Examiner Art Unit 2168

TIM VO PRIMARY EXAMINER